

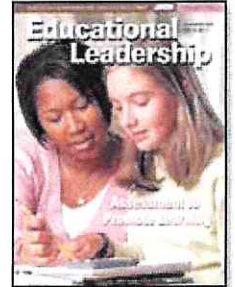
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## Classroom Assessment: Minute by Minute, Day by Day

In classrooms that use assessment to support learning, teachers continually adapt instruction to meet student needs.

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There is intuitive appeal in using assessment to support instruction: assessment *for* learning rather than assessment *of* learning. We have to test our students for many reasons. Obviously, such testing should be useful in guiding teaching. Many schools formally test students at the end of a marking period—that is, every 6 to 10 weeks—but the information from such tests is hard to use, for two reasons.

First, only a small amount of testing time can be allotted to each standard or skill covered in the marking period. Consequently, the test is better for monitoring overall levels of achievement than for diagnosing specific weaknesses.

Second, the information arrives too late to be useful. We can use the results to make broad adjustments to curriculum, such as reteaching or spending more time on a unit, or identifying teachers who appear to be especially successful at teaching particular units. But if educators are serious about using assessment to improve instruction, then we need more fine-grained assessments, and we need to use the information they yield to modify instruction as we teach.

### Changing Gears

What we need is a shift from *quality control* in learning to *quality assurance*. Traditional approaches to instruction and assessment involve teaching some given material, and then, at the end of teaching, working out who has and hasn't learned it—akin to a quality control approach in manufacturing. In contrast, assessment *for* learning involves adjusting teaching as needed while the learning is still taking place—a quality assurance approach. Quality assurance also involves a shift of attention from teaching to learning. The emphasis is on what the students are getting out of the process rather than on what teachers are putting into it, reminiscent of the old joke that schools are places where children go to watch teachers work.

In a classroom that uses assessment to support learning, the divide between instruction and assessment blurs. Everything students do—such as conversing in groups, completing seatwork, answering and asking questions, working on projects, handing in homework assignments, even sitting silently and looking confused—is a potential source of information about how much they understand. The teacher who consciously uses assessment to support learning takes in this information, analyzes it, and makes instructional decisions that address the understandings and misunderstandings that these assessments reveal. The amount of information can be overwhelming—one teacher likened it to “negotiating a swiftly flowing river”—so a key part of using assessment for learning is figuring out how to hone in on a manageable range of alternatives.

Research indicates that using assessment for learning improves student achievement. About seven years ago, Paul Black and one of us, Dylan Wiliam, found that students taught by teachers who used assessment for learning achieved in six or seven months what would otherwise have taken a year (1998). More important, these improvements appeared to be consistent across countries (including Canada, England, Israel, Portugal, and the United States), as well as across age brackets and content areas. We also found, after working with teachers in England, that these gains in achievement could be sustained over extended periods of time. The gains even held up when we measured student achievement with externally mandated standardized tests (see Wiliam, Lee, Harrison, & Black, 2004).

Using this research and these ideas as a starting point, we and other colleagues at Educational Testing Service (ETS) have been working for the last two years with elementary, middle, and high school teachers in Arizona, Delaware, Maryland, Massachusetts, New Jersey, New Mexico, and Pennsylvania. We have deepened our understanding of how assessment for learning can work in U.S. classrooms, and we have learned from teachers about the challenges of integrating assessment into classroom instruction.

## **Our Work with Teachers**

In 2003 and 2004, we explored a number of ways of introducing teachers to the key ideas of assessment for learning. In one model, we held a three-day workshop during the summer in which we introduced teachers to the main ideas of assessment for learning and the research that shows that it works. We then shared specific techniques that teachers could use in their classrooms to bring assessment to life. During the subsequent school year, we met monthly with these teachers, both to learn from them what really worked in their classrooms and to offer suggestions about ways in which they might develop their practice. We also observed their classroom practices to gauge the extent to which they were implementing assessment-for-learning techniques and to determine the effects that these techniques were having on student learning. In other models, we spaced out the three days of the summer institute over several months (for example, one day in March, one in April, and one in May) so that teachers could try out some of the techniques in their classes between meetings.

As we expected, different teachers found different techniques useful; what worked for some did not work for others. This confirmed for us that there could be no one-size-fits-all package. However, we did find a set of five broad strategies to be equally powerful for teachers of all content areas and at all grade levels:

- Clarifying and sharing learning intentions and criteria for success.
- Engineering effective classroom discussions, questions, and learning tasks.
- Providing feedback that moves learners forward.
- Activating students as the owners of their own learning.
- Activating students as instructional resources for one another.

We think of these strategies as nonnegotiable in that they define the territory of assessment for learning. More important, we know from the research and from our work with teachers that these strategies are desirable things to do in any classroom.

However, the way in which a teacher might implement one of these strategies with a particular class or at a particular time requires careful thought. A self-assessment technique that works for students learning math in the middle grades may not work in a 2nd grade writing lesson. Moreover, what works for one 7th grade pre-algebra class may not work for the 7th grade pre-algebra class down the hall because of differences in the students or teachers.

Given this variability, it is important to offer teachers a range of techniques for each strategy, making them responsible for deciding which techniques they will use and allowing them time and freedom to customize these techniques to meet the needs of their students.

Teachers have tried out, adapted, and invented dozens of techniques, reporting on the results in meetings and interviews (to date, we have cataloged more than 50 techniques, and we expect the list to expand to more than 100 in the coming year). Many of these techniques require only subtle changes in practice, yet research on the underlying strategies suggests that they have a high “gearing”—meaning that these small changes in practice can leverage large gains in student learning (see Black & Wiliam, 1998; Wiliam, 2005). Further, the teaching practices that support these strategies are low-tech, low-cost, and usually feasible for individual teachers to implement. In this way, they differ dramatically from large-scale interventions, such as class size reduction or curriculum overhauls. We offer here a brief sampling of techniques for implementing each of the five assessment-for-learning strategies.

### **Clarify and Share Intentions and Criteria**

Low achievement is often the result of students failing to understand what teachers require of them (Black & Wiliam, 1998). Many teachers address this issue by posting the state standard or learning objective in a prominent place at the start of the

lesson, but such an approach is rarely successful because the standards are not written in student-friendly language.

Teachers in our various projects have explored many ways of making their learning objectives and their criteria for success transparent to students. One common method involves circulating work samples, such as lab reports, that a previous year's class completed, in view of prompting a discussion about quality. Students decide which reports are good and analyze what's good about the good ones and what's lacking in the weaker ones. Teachers have also found that by choosing the samples carefully, they can tune the task to the capabilities of the class. Initially, a teacher might choose four or five samples at very different quality levels to get students to focus on broad criteria for quality. As students grow more skilled, however, teachers can challenge them with a number of samples of similar quality to force the students to become more critical and reflective.

## Engineer Effective Classroom Discussion

Many teachers spend a considerable proportion of their instructional time in whole-class discussion or question-and-answer sessions, but these sessions tend to rehearse existing knowledge rather than create new knowledge for students. Moreover, teachers generally listen for the "correct" answer instead of listening for what they can learn about the students' thinking; as Davis (1997) says, they listen *evaluatively* rather than *interpretively*. The teachers with whom we have worked have tried to address this issue by asking students questions that either prompt students to think or provide teachers with information that they can use to adjust instruction to meet learning needs.

As a result of this focus, teachers have become aware of the need to carefully plan the questions that they use in class. Many of our teachers now spend more time planning instruction than grading student work, a practice that emphasizes the shift from quality control to quality assurance. By thinking more carefully about the questions they ask in class, teachers can check on students' understanding while the students are still in the class rather than after they have left, as is the case with grading.

Some questions are designed as "range-finding" questions to reveal what students know at the beginning of an instructional sequence. For example, a high school biology teacher might ask the class how much water taken up by the roots of a corn plant is lost through transpiration. Many students believe that transpiration is "bad" and that plants try to minimize the amount of water lost in this process, whereas, in fact, the "lost" water plays an important role in transporting nutrients around the plant.

A middle school mathematics teacher might ask students to indicate how many fractions they can find between  $\frac{1}{6}$  and  $\frac{1}{7}$ . Some students will think there aren't any; others may suggest an answer that, although in some way understandable, is an incorrect use of mathematical notation, such as 1 over  $6\frac{1}{2}$ . The important feature of such range-finding items is that they can help a teacher judge where to begin instruction.

Of course, teachers can use the same item in a number of ways, depending on the context. They could use the question about fractions at the end of a sequence of instruction on equivalent fractions to see whether students have grasped the main idea. A middle school science teacher might ask students at the end of a laboratory experiment, "What was the dependent variable in today's lab?" A social studies teacher, at the end of a project on World War II, might ask students to state their views about which year the war began and give reasons supporting their choice.

Teachers can also use questions to check on student understanding before continuing the lesson. We call this a "hinge point" in the lesson because the lesson can go in different directions, depending on student responses. By explicitly integrating these hinge points into instruction, teachers can make their teaching more responsive to their students' needs in real time.

However, no matter how good the hinge-point question, the traditional model of classroom questioning presents two additional problems. The first is lack of engagement. If the classroom rule dictates that students raise their hands to answer questions, then students can disengage from the classroom by keeping their hands down. For this reason, many of our teachers have instituted the idea of "no hands up, except to ask a question." The teacher can either decide whom to call on to answer a question or use some randomizing device, such as a beaker of Popsicle sticks with the students' names written on them. This way, all students know that they need to stay engaged because the teacher could call on any one of them. One teacher we worked with reported that her students love the fairness of this approach and that her shyer students are showing greater confidence as a result of being invited to participate in this way. Other teachers have said that some students think it's unfair that they don't get a chance to show off when they know the answer.

The second problem with traditional questioning is that the teacher gets to hear only one student's thinking. To gauge the



understanding of the whole class, the teacher needs to get responses from all the students in real time. One way to do this is to have all students write their answers on individual dry-erase boards, which they hold up at the teacher's request. The teacher can then scan responses for novel solutions as well as misconceptions. This technique would be particularly helpful with the fraction question we cited.

Another approach is to give each student a set of four cards labeled *A*, *B*, *C*, and *D*, and ask the question in multiple-choice format. If the question is well designed, the teacher can quickly judge the different levels of understanding in the class. If all students answer correctly, the teacher can move on. If no one answers correctly, the teacher might choose to reteach the concept. If some students answer correctly and some answer incorrectly, the teacher can use that knowledge to engineer a whole-class discussion on the concept or match up the students for peer teaching. Hinge-point questions provide a window into students' thinking and, at the same time, give the teacher some ideas about how to take the students' learning forward.

### **Provide Feedback That Moves Learners Forward**

After the lesson, of course, comes grading. The problem with giving a student a grade and a supportive comment is that these practices don't cause further learning. Before they began thinking about assessment for learning, none of the teachers with whom we worked believed that their students spent as long considering teacher feedback as it had taken the teachers to provide that feedback. Indeed, the research shows that when students receive a grade and a comment, they ignore the comment (see Butler, 1988). The first thing they look at is the grade, and the second thing they look at is their neighbor's grade.

To be effective, feedback needs to cause thinking. Grades don't do that. Scores don't do that. And comments like "Good job" don't do that either. What *does* cause thinking is a comment that addresses what the student needs to do to improve, linked to rubrics where appropriate. Of course, it's difficult to give insightful comments when the assignment asked for 20 calculations or 20 historical dates, but even in these cases, feedback can cause thinking. For example, one approach that many of our teachers have found productive is to say to a student, "Five of these 20 answers are incorrect. Find them and fix them!"

Some of our teachers worried about the extra time needed to provide useful feedback. But once students engaged in self-assessment and peer assessment, the teachers were able to be more selective about which elements of student work they looked at, and they could focus on giving feedback that peers were unable to provide.

Teachers also worried about the reactions of administrators and parents. Some teachers needed waivers from principals to vary school policy (for example, to give comments rather than grades on interim assessments). Most principals were happy to permit these changes once teachers explained their reasons. Parents were also supportive. Some even said they found comments more useful than grades because the comments provided them with guidance on how to help their children.

### **Activate Students as Owners of Their Learning**

Developing assessment for learning in one's classroom involves altering the implicit contract between teacher and students by creating shared responsibility for learning. One simple technique is to distribute green and red "traffic light" cards, which students "flash" to indicate their level of understanding (green = understand, red = don't understand). A teacher who uses this technique with her 9th grade algebra classes told us that one day she moved on too quickly, without scanning the students' cards. A student picked up her own card as well as her neighbors' cards, waved them in the air, and pointed at them wildly, with the red side facing the teacher. The teacher considered this ample proof that this student was taking ownership of her learning.

Students also take ownership of their learning when they assess their own work, using agreed-on criteria for success. Teachers can provide students with a rubric written in student-friendly language, or the class can develop the rubric with the teacher's guidance (for examples, see Black, Harrison, Lee, Marshall, & Wiliam, 2003). The teachers we have worked with report that students' self-assessments are generally accurate, and students say that assessing their own work helped them understand the material in a new way.

### **Activate Students as Instructional Resources for One Another**

Getting students started with self-assessment can be challenging. Many teachers provide students with rubrics but find that the

students seem unable to use the rubrics to focus and improve their work. For many students, using a rubric to assess their own work is just too difficult. But as most teachers know, students from kindergarten to 12th grade are much better at spotting errors in other students' work than in their own work. For that reason, peer assessment and feedback can be an important part of effective instruction. Students who get feedback are not the only beneficiaries. Students who give feedback also benefit, sometimes more than the recipients. As they assess the work of a peer, they are forced to engage in understanding the rubric, but in the context of someone else's work, which is less emotionally charged. Also, students often communicate more effectively with one another than the teacher does, and the recipients of the feedback tend to be more engaged when the feedback comes from a peer. When the teacher gives feedback, students often just "sit there and take it" until the ordeal is over.

Using peer and self-assessment techniques frees up teacher time to plan better instruction or work more intensively with small groups of students. It's also a highly effective teaching strategy. One cautionary note is in order, however. In our view, students should not be giving another student a grade that will be reported to parents or administrators. Peer assessment should be focused on improvement, not on grading.

## **Using Evidence of Learning to Adapt Instruction**

One final strategy binds the others together: Assessment information should be used to adapt instruction to meet student needs.

As teachers listen to student responses to a hinge-point question or note the prevalence of red or green cards, they can make on-the-fly decisions to review material or to pair up those who understand the concept with those who don't for some peer tutoring. Using the evidence they have elicited, teachers can make instructional decisions that they otherwise could not have made.

At the end of the lesson, many of the teachers with whom we work use "exit passes." Students are given index cards and must turn in their responses to a question posed by the teacher before they can leave the classroom. Sometimes this will be a "big idea" question, to check on the students' grasp of the content of the lesson. At other times, it will be a range-finding question, to help the teacher judge where to begin the next day's instruction.

Teachers using assessment for learning continually look for ways in which they can generate evidence of student learning, and they use this evidence to adapt their instruction to better meet their students' learning needs. They share the responsibility for learning with the learners; students know that they are responsible for alerting the teacher when they do not understand. Teachers design their instruction to yield evidence about student achievement; for example, they carefully craft hinge-point questions to create "moments of contingency," in which the direction of the instruction will depend on student responses. Teachers provide feedback that engages students, make time in class for students to work on improvement, and activate students as instructional resources for one another.

All this sounds like a lot of work, but according to our teachers, it doesn't take any more time than the practices they used to engage in. And these techniques are far more effective. Teachers tell us that they are enjoying their teaching more.

## **Supporting Teacher Change**

None of these ideas is new, and a large and growing research base shows that implementing them yields substantial improvement in student learning. So why are these strategies and techniques not practiced more widely? The answer is that knowing about these techniques and strategies is one thing; figuring out how to make them work in your own classroom is something else.

That's why we're currently developing a set of tools and workshops to support teachers in developing a deep and practical understanding of assessment for learning, primarily through the vehicle of school-based teacher learning communities. After we introduce teachers to the basic principles of assessment for learning, we encourage them to try out two or three techniques in their own classrooms and to meet with other colleagues regularly—ideally every month—to discuss their experiences and see what the other teachers are doing (see Black, Harrison, Lee, Marshall, & Wiliam, 2003, 2004). Teachers are accountable because they know they will have to share their experiences with their colleagues. However, each teacher is also in control of what he or she tries out. Over time, the teacher learning community develops a shared language that enables teachers to talk

to one another about what they are doing. Teachers build individual and collective skill and confidence in assessment for learning. Colleagues help them decide when it is time to move on to the next challenge as well as point out potential pitfalls.

In many ways, the teacher learning community approach is similar to the larger assessment-for-learning approach. Both focus on where learners are now, where they want to go, and how we can help them get there.



# EMBEDDED FORMATIVE ASSESSMENT

— DYLAN WILKINSON

## CHAPTER 7

# Activating Students as Owners of Their Own Learning

In the introduction to his book *Guitar*, Dan Morgan (1965) wrote, “No one can teach you to play the guitar” (p. 1). This was rather puzzling, since the subtitle of the book is *The Book That Teaches You Everything You Need to Know About Playing the Guitar*. However, Morgan clarified by adding, “But they can help you learn.” This is pretty obvious really. Whether learning to play a musical instrument, a sport, or a whole range of other human endeavors, we intuitively grasp that teachers do not create learning; only learners create learning. And yet our classrooms seem to be based on the opposite principle—that if they try really hard, teachers can do the learning for the learners. This is only exacerbated by accountability regimes that mandate sanctions for teachers, for schools, and for districts, but not for students.

This chapter reviews the research evidence on the impact of getting students more involved in their learning and shows that activating students as owners of their own learning can produce extraordinary improvements in their achievement. The chapter concludes with a number of practical techniques for classroom implementation.



## Student Self-Assessment

To many, the phrase *student self-assessment* conjures up images of students giving themselves grades and diplomas, and the reaction is often predictable, including phrases like "lunatics running the asylum" or "fox guarding the henhouse." There is, in fact, evidence that students can assess themselves quite accurately for summative purposes (see, for example, Darrow, Johnson, Miller, & Williamson, 2002) but only when the stakes are low. Whether or not students can assess themselves accurately for summative purposes is completely irrelevant to the topic of this chapter, which is whether students can develop sufficient insights into their own learning to improve it.

The answer is yes. The potential of student self-assessment for raising achievement was vividly demonstrated in a study of twenty-five elementary school teachers in Portugal (Fontana & Fernandes, 1994). Over a twenty-week period, the teachers met for two hours each week, during which they were trained in the use of a structured approach to student self-assessment that involved both a prescriptive component and an exploratory component.

The prescriptive component took the form of a series of hierarchically organized activities, from which the teacher selected on the basis of diagnostic assessments of the students. For the exploratory component, each day at a set time, students organized and carried out individual plans of work, choosing tasks from a range offered by the teacher. The students had to evaluate their performance against their plans once each week. The progression within the exploratory component had two strands: over the twenty weeks, the tasks and areas in which the students worked took on the students' own ideas more and more, and the criteria that the students used to assess themselves became more objective and precise.

In the first two weeks, students chose from a set of carefully structured tasks and were then asked to assess their own performance. For the next four weeks, students constructed their own mathematical problems following the patterns of those used in weeks 1 and 2, and evaluated them as before, but this time, the students were required to identify any problems they had had and whether they had sought appropriate help from the teacher. Over the subsequent four weeks, students were given additional sets of learning objectives and again had to devise problems but were not given examples by the teacher. Finally, in the last ten weeks,

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students were allowed to set their own learning objectives, to construct relevant mathematical problems, to select appropriate apparatus, and to identify suitable self-assessments.

In order to evaluate the impact of the self-assessment activities on the students' progress, the achievement of the 354 students taught by the twenty-five study teachers was compared with that of 313 students taught by twenty teachers who had not been involved in the study in any way but were matched in terms of age, qualifications, and experience, and had been using the same curriculum scheme for the same amount of time. To further ensure comparability, the twenty control teachers were provided with the same amount of in-service professional development but which was not focused on student self-assessment. A standardized mathematics test was administered to all 667 students at the beginning of the twenty-week study and again at the end. The scores of those taught by the control-group teachers went up by 7.8 points, while the scores of those taught by the teachers employing self-assessment rose by 15 points. In other words, through the development of their self-assessment skills, students managed to learn in twenty weeks what would otherwise have taken thirty-eight weeks to learn. Using self-assessment in these twenty-five classrooms had almost doubled the rate at which students were learning. How, exactly, attention to student self-assessment improves learning is not yet clear, but the most important element appears to be the notion of self-regulation.

## Self-Regulated Learning

The basic idea of self-regulated learning is that the learner is able to coordinate cognitive resources, emotions, and actions in the service of his learning goals (Boekaerts, 2006). Some (for example, Winne, 1996) have emphasized the cognitive aspects of this process—does the learner have the necessary knowledge, skills, strategies, and so on to reach the goal? Others (for example, Corno, 2001) have pointed out that many students possess the necessary skills but do not use them in classrooms, which suggests that the problem is not a lack of skill but rather a lack of motivation or volition. Since the 1970s, there has been a great deal of research in these two broad areas—metacognition and motivation—which is summarized in the next two sections. Then these two threads will be woven together (Wigfield, Eccles, & Rodriguez, 1998).



## Metacognition

John Flavell (1976), widely credited with inventing the term, defined *metacognition* as follows:

"Metacognition" refers to one's knowledge concerning one's own cognitive processes and products or anything related to them, e.g., the learning-relevant properties of information and data. For example I am engaging in metacognition (metamemory, metalearning, metaattention, metalanguage, or whatever) if I notice that I am having more trouble learning A than B; if it strikes me that I should double-check C before accepting it as a fact; if it occurs to me that I had better scrutinise each and every alternative in any multiple-choice type task situation before deciding which is the best one; if I sense that I had better make a note of D because I may forget it; if I think to ask someone about E to see if I have it right. In any kind of cognitive transaction with the human or nonhuman environment, a variety of information processing activities may go on. Metacognition refers, among other things, to the active monitoring and consequent regulation and orchestration of these processes in relation to the cognitive objects or data on which they bear, usually in the service of some concrete goal or objective. (p. 232)

Metacognition, therefore, includes knowing what one knows (*metacognitive knowledge*), what one can do (*metacognitive skills*), and what one knows about one's own cognitive abilities (*metacognitive experience*). The research shows clearly that "the most effective learners are self-regulating" (Butler & Winne, 1995, p. 245) and, more importantly, that training students in metacognition raises their performance (for example, Lodico, Ghatala, Levin, Pressley, & Bell, 1983) and allows them to generalize what they have learned to novel situations (Hacker, Dunlosky, & Graesser, 1998). However, these skills will be useful only if students are motivated to use them.

## Motivation

Most people are familiar with the distinction between intrinsic and extrinsic motivation: whether the motivation for doing something comes from the fact that it is inherently interesting or enjoyable or because it

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will lead to some other valued outcome (Ryan & Deci, 2000). If individuals undertake only those things that are inherently interesting or enjoyable, then they are unlikely to learn to read, write, or play a musical instrument. We are generally motivated to learn these things because we value the consequence, whether it is avoiding punishment such as that for not doing homework or reaching some external goal we have set for ourselves such as learning to drive or learning how to play a favorite song on the guitar.

In much writing about motivation in school, motivation is treated rather like a substance in students' brains. Some students have a lot of it, and others don't. When students fail to learn, we blame their lack of motivation. At the other extreme, there are those who believe that it is the teacher's job to motivate the students. If the students don't learn, it is because the teacher was not a sufficiently good motivator, so the cause of the failure to learn is the teacher.

There is another way to think about motivation—not as a cause but as a *consequence* of achievement. This way of thinking is particularly marked in the work of Mihaly Csikszentmihalyi, a psychologist at the University of Chicago. In his book *Flow: The Psychology of Optimal Experience*, Csikszentmihalyi (1990) described a number of situations in which individuals became completely absorbed in the activities in which they were engaged:

A dancer describes how it feels when a performance is going well: "Your concentration is very complete. Your mind isn't wandering, you are not thinking of something else; you are totally involved in what you are doing. . . . Your energy is flowing very smoothly. You feel relaxed, comfortable and energetic."

A rock climber describes how it feels when he is scaling a mountain: "You are so involved in what you are doing [that] you aren't thinking of yourself as separate from the immediate activity. . . . You don't see yourself as separate from what you are doing."

A mother who enjoys the time spent with her small daughter: "Her reading is the one thing she's really into, and we read together. She reads to me and I read to her, and that's a time



when I sort of lose touch with the rest of the world, I'm totally absorbed in what I'm doing."

A chess player tells of playing in a tournament: "... the concentration is like breathing—you never think of it. The roof could fall in and, if it missed you, you would be unaware of it." (pp. 53–54)

Csikszentmihalyi described this sense of being completely absorbed in an activity "flow." This sense of flow can arise because of one's intrinsic interest in a task, as with the mother reading to her daughter, but can also arise through a match between one's capability and the challenge of the task. When the level of challenge is low and the level of capability is high, the result is often boredom. When the level of challenge is high and the level of capability is low, the result is generally anxiety. When both are low, the result is apathy. However, when both capability and challenge are high, the result is "flow."

This way of thinking about motivation is radical because it does not locate "the problem" in the teacher or the learner but in the match between challenge and capability. In the traditional view of motivation, if the student is not motivated, it is the fault either of the teacher or of the student. But if we see motivation not as a cause but as an outcome, an emergent property of getting the match between challenge and capability right, then if the student isn't motivated, that's just a signal that the teacher and the learner need to try something different.

However, it will not be enough that an activity is absorbing if the cost of engaging in the task is seen by the student as being too high, whether this is in terms of the opportunity cost that attempting a task might take or negative consequences such as the risk to one's self-image if unsuccessful (Eccles et al., 1983). The goals that students actually pursue in classrooms will depend on complex trade-offs between cost and benefit.

We know that students are more motivated to reach goals that are specific, are within reach, and offer some degree of challenge (Bandura, 1986; Schunk, 1991), but when the goals seem out of reach, students may give up on increasing competence and instead avoid harm, by either focusing on lower-level goals they know they can reach or avoiding failing altogether by disengaging from the task, as we saw in chapter 5. It

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might be assumed from this that competition is unhelpful, but focusing on increasing competence within teams to compete against other teams has been found to increase student achievement in math, provided the competition was focused on relative improvement among the groups (Linnenbrink, 2005).

It is also worth noting that while students' motivation and their belief in their ability to carry their plans through to successful completion—what Albert Bandura (1997) termed *self-efficacy*—tend to decline as students go through school, what the teacher does can make a real difference. A study of 1,571 students in eighty-four mathematics classrooms from fifth to twelfth grades found that students provided with positive constructive feedback by their teachers were more likely to focus on learning rather than performance (Deevers, 2006).

### Integrating Motivational and Cognitive Perspectives

This discussion may appear to have brought us a long distance from classroom formative assessment, but fulfilling the potential of formative assessment requires that we recognize that assessment is a two-edged sword. Assessment can improve instruction, but it can also impact the learner's willingness, desire, and capacity to learn (Harlen & Deakin Crick, 2002). Although we don't yet know everything about the most effective learning environments, the existing research on cognition and motivation provides clear and strong evidence that activating students as owners of their own learning is an essential component.

When students are invited to participate in a learning activity, they use three sources of information to decide what they are going to do:

1. Their perceptions of the task and its context (for example, school, class, and so on)
2. Their knowledge about the task and what it will take to be successful
3. Their motivational beliefs, including their interest and whether they think they know enough to succeed

The student then weighs the information and begins to channel energy along one of two pathways, focusing on either growth or well-being. This, however, is dynamic and can change rapidly. For example, after giving some attention to well-being, a student may find a way to



lower the threat to self-image, thus allowing a shift of energy and attention back to the growth pathway.

The motivational and cognitive perspectives on self-regulated learning can be brought together within the dual-processing model developed by Monique Boekaerts (1993). The dual-processing model suggests that the most important thing is the creation of learning environments that encourage students to activate the growth rather than the well-being pathway. We cannot possibly anticipate all the factors that a student may take into account in deciding whether to pursue growth rather than well-being, but there are a number of things that can be done to tip the scales in the right direction:

1. Share learning goals with students so that they are able to monitor their own progress toward them.
2. Promote the belief that ability is incremental rather than fixed; when students think they can't get smarter, they are likely to devote their energy to avoiding failure.
3. Make it more difficult for students to compare themselves with others in terms of achievement.
4. Provide feedback that contains a recipe for future action rather than a review of past failures (a medical rather than a postmortem).
5. Use every opportunity to transfer executive control of the learning from the teacher to the students to support their development as autonomous learners.

And if you figure out a way to do all that, please let me know. The fact that we know what needs to be done is not the same as doing it. Continuously developing one's teaching is extraordinarily difficult. The good news is that you don't need to start from scratch but build on the achievements of other teachers who have already developed techniques, such as those in the next section.

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